Evolutionary GRSA for Protein Structure Prediction

Fanny Gabriela Maldonado-Nava¹, Juan Frausto-Solís¹, Juan Paulo Sánchez-Hernández², Juan Javier González-Barbosa¹, Ernesto Liñán-García³, Guadalupe Castilla Valdez¹

¹ Tecnológico Nacional de México, Instituto Tecnológico de Ciudad Madero
² Universidad Politécnica del Estado de Morelos
³ Universidad Autónoma de Coahuila. Facultad de Sistemas.

E-mail: fanny_mm@hotmail.com, juan.frausto@gmail.com, juan.paulosh@upemor.edu.mx, jjgonzalezbarbosa@hotmail.com, ernesto_linan_garcia@uadec.edu.mx

Abstract. Protein folding problem (PFP) is a challenge in some areas, such as molecular biology, computational biology, combinatorial optimization, and computer science. This is due to the large number of conformational structures that a protein can take from its primary structure to the native structure (NS). The aim of PFP is to find the NS of a protein target sequence. In general, the NS which has the lowest Gibbs energy or an energy close to it. In this paper, a Simulated Annealing like algorithm is presented, using the Golden Ratio search strategy and evolutionary techniques for PFP in small peptides. This method looks for the NS using only the protein's amino acid sequence, and determines the three-dimensional structure with the minimum energy or a close value of it.

Keywords: Protein Structure Prediction, GRSA, Evolutionary algorithms.

1. Introduction

Proteins are molecules made of amino acids. They play a central role in biological processes. For example, proteins transport molecules such as oxygen (hemoglobin), also they are involved in the immune system (antibodies), and provide support in our bodies (collagen and elastin). The atoms of a protein are linked in a three-dimensional structure where Gibbs free energy is the lowest [1]. Is in this structure in which a protein can perform its biological function in our body. PFP is an NP-hard problem that consists of finding the three-dimensional structure of a protein; this structure is known as Native Structure (NS) and is characterized by the lowest energy in the last configuration of amino acids’ atoms [2][1][3]. A protein can take a high number of different conformational structures from its amino acids sequence (primary structure) to its NS, therefore, the total number of possible conformations is an exponential function of the total number of degrees of freedom in the amino acid chain. The natural process in which a protein gets to its native state is not understood at all, because in nature a protein does not explore all its possible states. So, the protein takes an unknown path to its native structure [4]. The importance of PFP lies in the fact that certain diseases as Alzheimer, Parkinson, Prion, and Tauopathy, are related to the incorrect folding of some proteins [5], this is the main reason why it is important to understand the protein folding process, because this may lead to manipulation of proteins to prevent or find the cure for some illnesses.

The main challenge of PFP is to understand how the information encoded in the sequence of amino acid is expressed into the three-dimensional structure, and with this limited knowledge, to develop computational methodologies that can correctly predict the native structure of a protein. Several methods for studying proteins structure have been used for years. Main methods with this purpose are X-ray crystallography, and nuclear magnetic resonance (NMR). But these methods can have some disadvantages, the size of proteins can limit their success, for more accuracy a preprocessed protein can be needed, they can be expensive, and their process can take a long time (sometimes it can take almost a year) [6]. Therefore, computational methods are becoming important; besides many methods and algorithms have been proposed, tested, and analyzed over the years as a solution to this complex problem.
Due to the complexity of the problem and the long time that takes to analyze all that possible conformations, and that even for a small protein molecule the high dimensionality of the search space makes the problem intractable [4], only a tiny portion of protein sequences have experimentally solved three-dimensional structures. This fact had motivated further research in Computational Protein Structure Prediction Methods. Different computational approaches for finding the three-dimensional structure have been proposed. Algorithms are based on these strategies for solving protein folding problem, these algorithms search structures on a huge space of possible solutions. These methods can obtain several structures very close to the native structure. These computational strategies can be classified into 3 categories: (a) ab initio, (b) homology, and (c) threading [7]. Homology and threading methods use protein information looking for finding a solution of the problem, in contrast, ab initio uses only the amino acid sequence without additional structural information. Anfinsen (Nobel Prize in Chemistry, 1972) shows that only ab initio can solve PFP [1]. Ab initio is an interesting strategy for the next reasons: a) a lot of proteins do not have any homology with other proteins which native structure is known; b) the other strategies do not give information about why a protein adopts a certain structure; and c) even though, some proteins show high resemblance to other proteins, they adopt structures completely different [8]. On the contrary, the bases of ab initio come from physical concepts based on energy functions [9], which can be model as an optimization problem. As a result, only predictions made with ab initio can be fully reliable. The algorithm proposed in this work belongs to the ab initio strategy.

For solving PFP, some heuristics methods have been used, most common are simulated annealing (SA), genetic algorithms (GA), ant colony optimization (ACO), tabu search (TS), and other heuristics. The most successful methods for finding the PFP solution are heuristics based on Simulated Annealing (SA), and Monte Carlo method; these successful methods are usually hybridized with other heuristics and strategies [10]. However, simulating the folding of a protein from a stretched configuration to its native structure remains a challenge [11], and the scientific community is working on more powerful algorithms, and trying to solve the question related to Levintal’s Paradox: how nature does protein folding? [4]. To answer this unsolved question is important because PFP is an NP-Hard problem, but nature uses only some seconds or even nanoseconds for any protein folding process.

To generate high-quality solutions for PFP, new and more efficient SA algorithms have been designed: Golden Ratio Simulated Annealing (GRSA) is one of these algorithms [12]; this algorithm has shown to be very efficient for small proteins as Met-enkephalin, C-Peptide, 1EOG, 1ENH, and 1BDD. GRSA uses several strategies for finding the best solution of PFP. In GRSA, SA parameters are tuned with an analytical method, uses a heuristic technique for dividing the search space into sections, it has a phase which detects the thermal equilibrium by a least squares method, and a reheat strategy to escape from local optima. In this paper, we propose a hybrid algorithm based on GRSA and evolutionary techniques. The performance of the new algorithm named EGRSA (Evolutionary Golden Ratio Simulated Annealing) is compared with GRSA using the pentapeptide Met-enkephalin. The experimentation discussed in the paper shows that the new algorithm finds similar results to those reported by GRSA. This is important because PFP can be solved for bigger proteins.

This paper is organized as follows: in section 2, Protein Folding Problem is described. Section 3, describes the simulated annealing algorithm and techniques used in the GRSA hybridization. Section 4, describes the analytical tuning method used by GRSA and EGRA. In section 5, EGRSA is presented. Finally, in section 6 the results obtained by EGRSA are shown and compared with those obtained by GRSA.

2. Protein Folding Problem

Protein folding problem is the process of finding the three-dimensional native structure of a protein, in this conformation the protein fulfills its biological function. Find the NS among all the possible conformations of a protein is an enormous challenge because the space of possible conformations is extremely large [2].

For practical purposes, PFP can be defined using the free energy \( f(\sigma) \) of the amino acids set, where \( \sigma \) is a vector of the \( m \) dihedral angles of the amino acids; that is \( \sigma = \{\sigma_1, \sigma_2, \sigma_3, ..., \sigma_m\} \). More precisely, PFP consists of finding the minimum free energy \( f^*(\sigma^*) \). Then, PFP is defined as follows:

- Given a sequence of \( n \) amino acids; \( a_1, a_2, a_3, ..., a_n \), that represents the primary structure of a protein with a set of dihedral angles \( \sigma = \{\sigma_1, \sigma_2, \sigma_3, ..., \sigma_m\} \), and an energy function \( f(\sigma_1, \sigma_2, ..., \sigma_m) \) that represents the free energy or Gibbs energy.
- Find the native structure of the protein, such that \( f'(\sigma^*) \) represents the minimum energy value, where the optimal solution \( \sigma^* = \{ \sigma_1^*, \sigma_2^*, \sigma_3^*, ..., \sigma_m^* \} \) defines the best three-dimensional configuration. The PFP variables are the set \( \sigma \) of dihedral angles.

The atoms of a protein are represented in three-dimensional Cartesian coordinates. There are four types of torsion angles or dihedral angles:

- The angle between the amino group and the alpha carbon is referred as Phi (\( \varphi \)). This angle represents the angle between the amino group (or \( \text{NH}_2 \)) of the amino acid \( i \), and the alpha Carbon \( C_i \) in the sequence; it represents the bond angle between the \( N_i \) atom of amino group and the alpha carbon \( (\alpha C_i) \).
- The dihedral angle between the alpha carbon and the carboxyl group is referred as Psi (\( \psi \)). Psi represents the angle between the carboxyl \( (\text{COOH}_i) \) group of the amino acid \( i \), and the alpha carbon \( i \) \( (\alpha C_i) \) of the same amino acid. Psi measures the angle of the covalent bond between the \( C_i \) of the carboxyl group, and the alpha carbon \( (\alpha C_i) \).
- The omega angle (\( \omega \)) is defined for each two consecutive amino acids; it is the angle of the covalent bond between the atom \( N_i \) of amino acid \( i \), and carbon \( C_{(i-1)} \) of the carboxyl group of the amino acid \( (i - 1) \).
- And, finally each Chi angle (\( \chi \)) is defined between the two planes conformed by two consecutive carbon atoms in the radical group.

The determination of the value for these four angles for each of the amino acids that make up the protein sequence, constitute the variables of the problem.

The protein’s energy depends on the interaction among their atoms. And this energy is affected by the position of atoms, torsion angles and distance among atoms. To measure the energy of a protein, force fields are used; these include many interactions among atoms affecting different energies [13]. A force field includes terms associated with the bond interactions, and terms associated with no-bond interactions. The bond interactions are associated with chemical bonds, for example hydrogen bond interactions. The no-bond interactions include interaction between atoms that are not directly joined by chemical bonds; for example, electrostatic and Van der Waals interactions. Some of the most popular and successful software systems for calculating force fields are CHARMM [14], AMBER [15], ECEPP/2 and ECEPP/3 [16]. In this paper ECEPP/2 force field is used [17].

ECEPP is a relatively simple force field based on rigid geometry (i.e., constant bond angles and lengths), with conformations thus defined solely by the backbone and side chain dihedral angles. In ECEPP/2 the potential energy is given by the sum of the electrostatic term \( E_{\text{electrostatic}} \), Lennard-Jones term \( E_{ij} \), and hydrogen-bond term \( E_{HB} \) for all pairs of atoms in the peptide together with the torsion term \( E_{\text{tor}} \) for all torsion angles [18]:

\[
E_{\text{bonded}} = E_{\text{electrostatic}} + E_{ij} + E_{HB} + E_{\text{tor}}
\]  \( \text{(1)} \)

These terms in equation (1) are expressed in equation (2) through which energy function ECEPP/2 minimize the energy [18].

\[
E_{\text{total}} = \sum_{j>i} (A_{ij} \frac{1}{r_{12}^{12}_{ij}} - B_{ij} \frac{6}{r_{6}^{6}_{ij}}) + 332 \sum_{j>i} q_i q_j \frac{1}{r_{1}^{1}_{ij}} + \sum_{j>i} \left( C_{ij} \frac{1}{r_{12}^{12}_{ij}} - D_{ij} \frac{1}{r_{10}^{10}_{ij}} \right) + \sum_{n} U_n (1 \pm \cos(k_n \varphi_n))
\]  \( \text{(2)} \)

Where:
- \( r_{ij} \) is the distance in Å between the atoms \( i \) and \( j \).
- \( A_{ij}, B_{ij}, C_{ij} \) and \( D_{ij} \) are the parameters of the empirical potentials.
- \( q_i \) and \( q_j \) are the partial charges on the atoms \( i \) and \( j \), respectively.
- \( \varepsilon \) is the dielectric constant which is usually set to \( \varepsilon = 2 \).
- 332 is a factor for using the energy units expressed in kcal/mol.
- \( U_n \) is the energetic torsion barrier of rotation about the bond \( n \).
- \( k_n \) is the multiplicity of the torsion angle \( \varphi_n \).
3. Golden Ratio Simulated Annealing

Simulated Annealing (SA) algorithm was proposed by Kirkpatrick, which applies the Metropolis algorithm to minimization problems [19]. SA represents a thermodynamic process where the metal passes through a thermodynamic phase called annealing. Simulated annealing is a procedure that introduces a random phase in the acceptance of movements, such that if the movement produces an improvement in the solution, then it is accepted; on the contrary, if the movement leads to a worse solution, a criterion based on Boltzmann’s probability is applied in order to decide whether the solution is accepted or not. SA begins generating an initial solution, which is set as the current solution. Classical simulated annealing consists of two cycles; an external cycle that is controlled by a temperature parameter, which varies temperature from a high temperature to a close to zero final temperature; the temperature is very slowly reduced using a cooling function. Inside the temperature cycle the Metropolis cycle is executed, inside this inner cycle a new solution is generated by modifying the previous solution by a perturbation function. After Metropolis cycle is completed, the value of the temperature is updated. This procedure can be observed in the algorithm 1.

```
Algorithm 1. Classical Simulated Annealing
1: SA (T_i, T_Fp, T_f, α)
2: T_k = T_i
3: S_i = generateSolution()
4: while T_k ≥ T_f do
5:     while Metropolis do
6:         S_j = perturbation(S_i)
7:         ΔE = E(S_j) - E(S_i)
8:         if ΔE ≤ 0 then
9:             S_i = S_j
10:        else if e^(-ΔE/T_i) < random[0;1] then
11:            S_i = S_j
12:        end
13:     end
14:     T_{k+1} = α * T_k
15: end
16: end
```

Simulated annealing has been used for solving PFP as mentioned earlier. However, the classic SA may take a lot of time and can be trapped on local minima. To improve the quality of the results obtained by SA, some heuristics and techniques can be used, such as the Golden Ratio search technique. Golden Ratio (GR) is an irrational number used in antiquity to design architectural masterpieces, and is represented by the letter Φ. GR is applied as a search strategy to find the maximum or minimum a function with some efficacy [20]. This strategy is based on eliminating a region, at each stage, of the interval in which the minimum or maximum is comprised and when the possible region is small enough the search ends. These techniques use a constant relationship to divide the interval into segments and in this way, will be reduced the search space.

We can find a GR extension, that is an hybridization of GR and simulated annealing, named GRSA (Golden Ratio Simulated Annealing) algorithm, which has been applied for NP-hard problems such as Scheduling [21] and SAT [22]. Recently GRSA was successfully applied to the PFP, obtaining very good quality solutions for some peptides [12]. However, it is necessary to improve the quality of solutions obtained by GRSA to increase its applicability. GRSA for PFP hybridize Golden Ratio and Simulated Annealing in an algorithm that divides the solution space into sections [12]. This algorithm uses three main strategies: firstly, temperature parameters are tuned with an analytical-experimental approach; secondly, a special phase which detects the thermal equilibrium by a least squares method; and a reheat strategy to escape from local optima is applied.

One of the main differences between classic SA and GRSA is the cooling scheme. A classic SA uses a cooling function, for example geometric, exponential, and logarithmic functions; the selected function reduces the temperature from high temperature to low temperature in the external cycle. In GRSA some cut-off temperatures T_{fm} are calculated using the golden number Φ; this temperature is decremented through the geometric cooling function C_{k+1} = αC_k, and once T_{fm} is reached, a new phase
begins where the value of the parameter $\alpha$ is updated and another $T_{fr}$ is recalculated. This procedure continues until the number of cuts ($T_{pm}$) is reached, the last phase is executed until the final temperature is reached. Other strategy we can find in GRSA is a stop criterion (SC), which is implemented when the algorithm at low temperatures no longer improves its quality. To determine the stop condition, the least squares method is applied, this method uses a linear equation $E(I) = ml + b$, where $E(I)$ is the set of energies for every $I$ metropolis cycle, $m$ is the slope and $b$ is the interceptor. The equilibrium is almost reached when $m$ is close to zero [14]. If $m$ is close to zero, GRSA is finished. In order to improve its quality, in the GRSA algorithm, a reheat strategy (RH) was implemented, which is applied in two phases: a) at the end of the last GR section and b) when the equilibrium is detected [12].

4. Analytical tuning

For a better performance of the Simulated annealing algorithms, parameters must be tuned. More efficiency and efficacy of the Simulated Annealing algorithm can be achieved using the best values for some parameters of the cooling scheme. The cooling scheme includes the cooling function, the initial and final temperatures, and the length of the Markov chain.

The initial temperature of the algorithm should allow the acceptance of all possible transitions and the free movement in the solution space. When the temperature is very high, almost any solution is acceptable even if it is worse than the current one. When the temperature is very small, it only accepts solutions that are better than the current one. If the initial temperature is too high, a lot of time can be wasted in the first few cycles, and if it is very low, the probability of being trapped in a local optimum is very high. However, if the final temperature is very high, the probability of being trapped in a local optimum is very high. If the temperature is very low, probably the search process will be very exhaustive and will consume a lot of time. Therefore, a good choice of the initial and final temperature is of great importance to achieve a good performance of the SA.

Simulated Annealing requires a well-defined neighborhood structure and other parameters as initial and final temperatures. To determine these parameters, an analytical tuning method was applied. This method is described as follows:

The calculation of the initial temperature $T_i$ is based on the accepting probability $P_A(S_j)$ of one proposed solution ($S_j$) and the maximum cost deterioration of the objective function named $\Delta Z_{V_{max}}$. $\Delta Z_{V_{max}}$ gives the maximum deterioration that may be produced during the execution of the algorithm, so the way of making sure that $\Delta Z_{V_{max}}$ be accepted, at the initial temperature $T_i$. At the beginning $P_A(< 1$; $P_A(\Delta Z_{ij}) < 1$, and the temperature is extremely high, almost any solution is accepted at this temperature. When the process is ending, the acceptance probability is too low ($P_A(\Delta Z_{ij}) < 0$). The initial temperature $T_i$ is calculated as follows:

$$T_i = -$$ \frac{\Delta Z_{V_{max}}}{\ln(P_A(\Delta Z_{V_{max}}))} \tag {3}$$

$\Delta Z_{V_{min}}$ establishes the minimum deterioration that can be produced during the execution of the algorithm. In a similar way that for $T_i$ temperature, the final temperature $T_f$ is setting by the next equation:

$$T_f = -$$ \frac{\Delta Z_{V_{min}}}{\ln(P_A(\Delta Z_{V_{min}}))} \tag {4}$$

Not only initial and final temperatures are calculated with the analytical tuning, as the same way does the Metropolis cycle length. Analytical method determines the Metropolis cycle length $L_k$ with a Markov model.

SA algorithm can be seen like a sequence of homogeneous Markov chains [7], where each Markov chain is constructed for descending values of the control parameter $T_k > 0$, which is set by a cooling function: $T_{k+1} = f(T_k)$. When SA is just at the beginning the Markov chain length is small ($L_k = L_1 < 1$). By the time $k \to \infty$ the value of $T_k$ is decremented by the next cooling function until the final temperature is reached ($T_k \approx T_f$).
\[ T_{k+1} = \alpha T_k \]  

where \( \alpha \) is normally in the range of \( 0.7 \leq \alpha \leq 0.99 \).

The length of each Markov chain must be incremented at any temperature cycle in a similar but in inverse way that \( T_k \) is decremented. That means, \( L_k \) must be incremented until \( L_{\text{max}} \) is reached at \( T_f \) by applying an increment Markov chain factor \( \beta \).

The cooling function given by (5) is applied many times until the final temperature \( T_f \) is reached. Because Metropolis cycle is finished when the stochastic equilibrium is reached, it can be also modeled as a Markov Chain as follows:

\[ L_{k+1} = \beta L_k \]

\( L_k \) represents the length of the current Markov chain at a given temperature, that means the number of iterations of the Metropolis cycle for a \( k \) temperature. So, \( L_{k+1} \) represents the length of the next Markov chain. In this Markov Model, \( \beta \) represents an increment of the number of iterations in the next Metropolis cycle.

The cooling function is applied repeatedly until \( T_k = T_f \) we get:

\[
\begin{align*}
T_1 &= \alpha T_1 \\
T_2 &= \alpha T_1 = \alpha^2 T_1 \\
T_3 &= \alpha T_2 = \alpha^3 T_1 \\
&\vdots \\
T_n &= \alpha T_{n-1} = \alpha^n T_1
\end{align*}
\]

Therefore, we can see that if we know the initial \( (T_1) \) and final \( (T_f) \) temperatures and the cooling coefficient \( (\alpha) \), the number of times that the Metropolis cycle is executed can be calculated as:

\[
\begin{align*}
\ln T_f &= n \ln \alpha + \ln T_1 \\
n &= \frac{\ln T_f - \ln T_1}{\ln \alpha}
\end{align*}
\]

In a similar way, applying systematically \( L_{k+1} = \beta L_k \) we get:

\[
\begin{align*}
L_1 &= \beta L_1 \\
L_2 &= \beta L_1 = \beta^2 L_1 \\
L_3 &= \beta L_2 = \beta^3 L_1 \\
&\vdots \\
L_n &= \beta L_{n-1} = \beta^n L_1
\end{align*}
\]

\[
\begin{align*}
L_{\text{max}} &= \beta^n L_1 \\
\beta &= \exp \left( \frac{\ln L_{\text{max}} - \ln L_1}{n} \right)
\end{align*}
\]

This is the analytical tuning method proposed in [23], used in GRSA [12] and used in this paper for comparing results with GRSA.
5. Evolutionary Golden Ratio Simulated Annealing

In this work, we propose a GRSA hybridization [12] with evolutionary techniques for solving PFP. As is well known, evolutionary algorithms, are a neo-Darwinian approach to species evolution based on the idea that individuals who have a better adaptation to the environment have a higher probability of living longer, and generate offspring that inherit their characteristics. On the other hand, individuals with poorer adaptation to the environment are less probably to survive, to be offspring and more probably to become extinct.

EGRSA is presented in algorithm 2. The difference between a classic SA and EGRSA is the perturbation and the cooling scheme, in EGRSA to get a new solution (perturbation), an evolutionary strategy is used (line 7). The cooling scheme is calculated in lines 15-20. When the current temperature reaches $T_{fp}$ (previously calculated using golden number $\Phi$), the $\alpha$ parameter is updated and the next $T_{fp}$ value is computed. This allows a dynamic behavior of the cooling scheme (in Fig. 1 this behavior can be observed).

Algorithm 2. EGRSA

```
1: SA ($T_i, T_{fp}, T_f, E, S, \alpha$)
2: $T_k = T_i$
3: $S_i = generateSolution()$
4: while $T_k \geq T_f$ do
5:     $T_{fp} = T_{fp} \times \Phi$
6:     while Metropolis do
7:         $S_j = evolutionaryPerturbation(S_i)$
8:         $\Delta E = E(S_j) - E(S_i)$
9:         if $\Delta E \leq 0$ then
10:            $S_i = S_j$
11:        else if $e^{-\Delta E/T_i} < \text{random}[0;1]$ then
12:            $S_i = S_j$
13:        end if
14:     end while
15:     if $T_k \leq T_{fp}$ then
16:         $\alpha = \alpha_{new}$
17:         $T_{k+1} = \alpha \times T_k$
18:     else
19:         $T_{k+1} = \alpha \times T_k$
20:     end if
21: end while
22: end
```

Evolutionary Golden Ratio Simulated Annealing (EGRSA) is a hybrid algorithm, incorporating evolutionary techniques to GRSA. In EGRSA an evolutionary algorithm changes the classic simulated annealing perturbation method, in which crossover and mutation operators are used, thus returning a quality solution. In this phase, an initial population is generated. Each chromosome consists of the set of dihedral angles $\sigma = \{\sigma_1, \sigma_2, \sigma_3, ..., \sigma_m\}$ of the protein. From this population, 4 individuals are randomly selected by binary tournament, the 2 best (with a probability of 5% of selecting a worse one) will be the parents who through a crossover at one point will generate two children. Once the crossover phase is finished, mutation phase will be made bit per bit (with a 5% probability) to the children generated in the crossover phase, if this is the case, a mutation will be made to the random selected gene. To generate the population of the next generation, an elite selection is used, which consists of 50% of the best parents and the other 50% of the best children. This process is repeated $n$ number of generations, and once completed the best individual is taken and compared against the best individual of the simulated annealing algorithm in the Metropolis phase. This procedure is represented in algorithm 3, and it is implemented in line 7 of the algorithm 2. The chromosome is created from the structure of the peptide. Each individual is a string of $\phi, \psi, \omega$, and $\chi$ angles representing the amino acids conformation, as can be observed in figure 1.
Algorithm 3. Perturbation

1: \textit{evolutionaryPerturbation()}
2: \hspace{1em} n = \text{numGen}, \text{bestSol[]}, \text{bestEnergy}
3: \hspace{1em} pob = \text{initPob()}
4: \hspace{1em} \textbf{while} gen \leq n \textbf{do}
5: \hspace{2em} selec = \text{selectBest()}
6: \hspace{2em} children = \text{disturbParents()}
7: \hspace{2em} children' = \text{disturbChildren()}
8: \hspace{2em} pob' = \text{updatePob()}
9: \hspace{1em} \textbf{end while}
10: \hspace{1em} \text{return(bestSol[], bestEnergy)}
11: \textbf{end}

Fig. 1. GRSA temperature behavior.

6. Implementation and Results

The algorithm was tested with Met-enkephalin, which is one of the most used peptides. Met-enkephalin was used in [12], and has been widely used to proof algorithms such as simulated annealing and variants. Met-enkephalin consists of a total of 75 atoms described by 24 independent backbone and side-chain dihedral angles. This brain neuro peptide consists of 5 amino acids with the amino-acid sequence: Tyr-Gly-Gly-Phe-Met. Because it is one of the smallest peptides that have biological functions, it has served as a bench mark for testing a new simulation method [24]. Even such a small peptide gives rise to a complex conformational space, and the total number of local minima was estimated to be no less than $10^{11}$ [25]. Met-enkephalin has been extensively studied computationally [25][26][27][28][29][30][31][17] because of the complexity, and its short sequence, these characteristics allow significant computational studies, and it has been considered as a benchmark model used as test for classical simulated annealing implementations [30].
In this paper the energy function ECEPP/2 implemented in the software package SMMP is used [17]. ECEPP/2 was chosen because the state of the art research work used for comparative purposes applies this force field, and because for ECEPP/2 the Met-enkephalin lowest energy conformation is known [26][27][28]. The initial and final temperatures of the algorithm were tuned with an analytical method [10] described in section 4. In this implementation, five GR sections, a stop criterion, and reheat strategy are used in order to compare results with GRSA using the same strategies, and to observe the differences between the original perturbation [12] and the evolutive perturbation used in EGRSA.

EGRSA was executed 30 times to validate the algorithm results. This experimentation was carried out in a personal computer, with the following characteristics: Intel Core i5 3210M processor at 2.50 GHz, memory 6.0 GB, and Windows 7 Professional 64-bit operative system, and the best solution was obtained.

The best energies (expressed in kcal/mol) obtained by EGRSA with the five GR sections and the stop criterion (SC) are compared to those obtained with GRSA and the same strategies, these results are shown in Table 1. We can observe in table 1 that in this implementation the best energy was obtained by EGRSA with the stop criterion and five golden sections, the energy obtained was -10.6925 kcal/mol. While GRSA, using the same strategies obtained -10.6006 kcal/mol.

<table>
<thead>
<tr>
<th>Number of GR Sections</th>
<th>GRSC</th>
<th>EGRSC</th>
</tr>
</thead>
<tbody>
<tr>
<td>GR 1</td>
<td>-10.4528</td>
<td>-9.2848</td>
</tr>
<tr>
<td>GR 2</td>
<td>-10.1119</td>
<td>-10.0529</td>
</tr>
<tr>
<td>GR 3</td>
<td>-10.5314</td>
<td>-10.5846</td>
</tr>
<tr>
<td>GR 4</td>
<td>-10.6006</td>
<td>-10.3601</td>
</tr>
<tr>
<td>GR 5</td>
<td>-10.1540</td>
<td>-10.6925</td>
</tr>
</tbody>
</table>

A second implementation of EGRSA was tested, but in this case the reheat strategy (RH) was included in together with five GR sections and the stop criterion (SC). Best energies for Met-enkephalin obtained by EGRSA (five GR section, a stop criterion and reheat strategy) are compared to those obtained by GRSA (five GR sections, stop criterion and reheat strategy) and are shown in table 2. We can see in table 2, that the best results with these strategies were obtained by GRSA using one golden section, the minimum energy is -10.6360 kcal/mol. While best energy obtained by EGRSA was -10.1562 kcal/mol.

<table>
<thead>
<tr>
<th>Number of GR Sections</th>
<th>GRRHCP</th>
<th>EGRRHCP</th>
</tr>
</thead>
<tbody>
<tr>
<td>GR 1</td>
<td>-10.6360</td>
<td>-10.0812</td>
</tr>
<tr>
<td>GR 2</td>
<td>-10.3443</td>
<td>-10.1201</td>
</tr>
<tr>
<td>GR 3</td>
<td>-10.5174</td>
<td>-9.8942</td>
</tr>
<tr>
<td>GR 4</td>
<td>-10.3552</td>
<td>-10.1562</td>
</tr>
<tr>
<td>GR 5</td>
<td>-10.1838</td>
<td>-9.5018</td>
</tr>
</tbody>
</table>

For PFP algorithms, not only the minimum energy should be analyzed; in addition, for validation purposes, the configuration related with this energy should be measured and compared with the NS or the best structure reported. To know the quality of the found solution, the RMSD is usually used [32]. This is a structural measure between the native structure and the solution found. When RMSD is close to zero, there is a perfect structural similarity between the two compared structures, when the value is greater than zero, the structural quality is reduced. Another metric named TM-Score is also used for measuring structures similarity. This metric measures the structure similarity between two structures (the native structure and the found solution). For Protein pairs with a $TM – Score > 0.5$ are mostly in the same fold, while those with a $TM – Score < 0.5$ are mainly not in the same fold [33]. As we mentioned in the beginning of this section it is very common to use Met-enkephalin for testing PFP algorithms for peptides [24].
Best solutions quality found by EGRSA for Met-Enkephalin are shown in table 3. We can observe that the RMSD and TM score for the best solution of EGRSA are 0.19 and 0.52416, respectively. Consequently, the energy of -10.6925 kcal/mol is a correct solution. Furthermore, the RMSD and TM-Score indicate that the configuration presents a good alignment to the native structure. Notice, that even the second best result (with an energy value of -10.1562 kcal/mol) is an acceptable solution; this is because the RMSD and TM-score values are into the acceptable criteria.

<table>
<thead>
<tr>
<th>Energy value (kcal/mol)</th>
<th>Strategy</th>
<th>Golden section</th>
<th>RMSD</th>
<th>TM-Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>-10.6925</td>
<td>Stop criterion</td>
<td>5 GR</td>
<td>0.19</td>
<td>0.52416</td>
</tr>
<tr>
<td>-10.1562</td>
<td>Stop criterion</td>
<td>Reheat</td>
<td>0.36</td>
<td>0.39550</td>
</tr>
</tbody>
</table>

For Met-enkephalin the best results reported in literature using the force field ECEPP/2 are -10.72 kcal/mol ($\omega$ angle fixed to 180°) [17] and -12.90 kcal/mol ($\omega$ angle variable) [29]. For classic simulated annealing the best reported result is -5 kcal/mol [29]. Notice that the best energy found by EGRSA of -10.6925 kcal/mol is very close of the native structure and the difference is less than one percent (barely 0.25%).

7. Conclusions

In this work a simulated annealing algorithm hybridized with Golden Ratio strategy and an evolutionary algorithm applied to the protein folding problem was presented. Experimentation was performed with Met-Enkephalin, which is usually used as a benchmark. Results obtained by this algorithm were compared with results obtained by GRSA and three strategies (GR sections, stop criterion and reheat). As we can see in section 6, two implementations were tested: an implementation of EGRSA using five golden sections and a stop criterion, and a second implementation using additionally a reheat strategy.

For the first implementation of EGRSA algorithm, results show that it had better performance than the original GRSA and very close to the native structure. In fact, the solution found by the proposed algorithm is practically the same corresponding to the NS. The measures used related to the quality of PFP algorithms indicate a very good performance of the proposed algorithm.

As a future work, new research should be developed in order to evaluate bigger peptides and proteins.

Acknowledgements

The authors would like to acknowledge with appreciation and gratitude to CONACYT. Also, acknowledge to Laboratorio Nacional de Tecnologías de la Información (LaNTI) of the Instituto Tecnológico de Ciudad Madero for the access to the cluster. Fanny Gabriela Maldonado-Nava would like to thank CONACYT for the support in the project 429028.

References


